

Customer Authorization to Purchase and Sell Penny Stock(s) Pursuant to SEC Rule 15g-9

Customer Name: _____

Account Number: _____

Name of Issuer(s): _____

Maximum dollar amount to be invested: \$_____

Frequency of Purchases: (Only as requested by customer via written email or fax instructions.)

Pursuant to Rule 15g-9(a)(2) under the Securities Exchange Act of 1934, as amended (“Exchange Act”), Abraham & Co., Inc. (“Abraham”) is required to obtain written agreement from the undersigned (the “Customer”) for the proposed purchase of a “penny stock” as that term is defined by Section 3(a)(51) of the Exchange Act.

The Customer acknowledges that Abraham has advised them of the significant risks involved in the purchase of penny stocks and that they could lose the entire amount of their investment. The Customer further acknowledges that Abraham has not made any representation to the undersigned that the above purchase(s) is a safe investment and is guaranteed to result in either a gain or a loss. The Customer represents to Abraham that he/she can withstand the entire loss of any investment made in low priced securities and that such loss would not jeopardize lifestyle, family, higher education, or retirement commitments or expectations.

By signing below, the Customer represents that they have read and understand the above-referenced and would like to be informed of these types of high-risk investment opportunities agree to the purchase of the requested penny stock(s). The Customer agrees to promptly notify Abraham if his/her investment desires or circumstances change and this “Authorization” should be withdrawn.

Signature _____ Date: _____

Signature _____ Date: _____

(Both parties must sign In the case of joint accounts)

If you have any questions about this form please contact Abraham & Co.’s Chief Compliance Officer at: (253) 851-7486 or Email: abeco@abrahamco.com

